4 2003 26 the B	enerwork Reduction Act of 19	5 no persor	U.S. Pate	nt and Ti	rademark Office: U.S. DF	PTO/SB/21 (03-03) n 04/30/2003. OMB 0651-0031 PARTMENT OF COMMERCE S a valid OMB condumber &
CALLEY OF	RANSMITTAL FORM		Application Number Filing Date First Named Inventor	10/08 Febru	97,000 Jary 28, 2002 y C. Leavy	RECEIVED
	r all correspondence after initi	6**	Art Unit  Examiner Name  Attorney Docket Number		et known 9.00110	Technology Center 2
		ENC	LOSURES (Check all tha	4		
Amendan  Extension  Express  Informat  Certified Docume  Respons Incomple	se to Missing Parts/ ete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Rema Other En Reference **does no	nclosures: ces Cited (References 1A ,1B, 1C ot include pages for references c		Appeal Coming Appeals and Appeal Coming (Appeal Some (Appeal Notice)  Proprietary In Status Letter  Other Enclose Identify below Copy of Transmitts Form PTO/SB/08A	munication to Board and Interferences munication to Co. e, Brief, Reply Erief) information sure(s) (please w): al Form, Postcard,
	SIGN	ATURE C	OF APPLICANT, ATTORN	IEY, O	OR AGENT	
Firm or Individual Signature	Arnold M de Guzman De Guzman & Associate	s, PC		-		
Date	July 31, 2003					
		ERTIFIC	CATE OF TRANSMISSION	N/MAII	LING	===
	t this correspondence is being	facsimile tra	nsmitted to the USPTO or deposited v r Patents, Washington, DC 20231 on	with the L	Jnited States Postal Servi	ice with sufficient postage as
Typed or printe	ed Arnold M. de G	ızman Re	eg. No. 39,955			
Signature	1/2				Date	July 31, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Penny C. Leavy, et al.

Serial No.: 10/087,000

Filed:

February 28, 2002

Title: FAULT INJECTION METHODS

AND APPARATUS

RECEIVED

AUG 0 7 2003

**Technology Center 2100** 

Examiner: Not yet known

Art Unit: 2151

Atty. Docket No.: 10009.000110

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [] filed on [], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).					
	nt to 37 CFR §1.97, this Information Disclosure Statement is being submitted ion indicated below.					
$\boxtimes$	37 CFR §1.97(b).					
	37 CFR §1.97(c). If so, then either:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR  no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.  OR  Applicant submits the petition fee of \$180 as set forth in 37 CFR δ					
	Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).					
	<ul> <li>□ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:</li> <li>□ each item of information contained in this Information</li> <li>□ Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR</li> <li>□ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.</li> <li>AND</li> <li>□ Applicant submits the petition fee of \$180 as set forth in 37 CFR §</li> </ul>					
	1.17(p).					

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Penny C. Leavy, et al.

Dated: July 31, 2003

٠٠١٠ ،

Ву:

Arnold M. deGuzman Attorney For Applicant(s)

Reg. No. 39,955

DeGuzman & Associates, PC

RECEIVED

5276 Hollister Avenue, Suite 160 Santa Barbara, CA 93111

AUG 0 7 2003

Tel.: (805) 681-5078 Fax: (805) 681-5076

**Technology Center 2100** 

	CERTIFICATE OF MAILING	;			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.					
Signature:	6-				
Typed or Printed Name:	Arnold M. de Guzman	Dated:	July 31, 2003		
Express Mail Mail:					

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/087,000			
Filing Date	February 28, 2002			
First Named Inventor	Penny C. Leavy			
Art Unit	2151			
Examiner Name	Not yet known			
Attorney Docket Number	10009 000110			

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-	1A	<sup>US-</sup> 4,759,019	07/19/1988	Bentley, et al.	
	1B	<sup>US-</sup> 4,999,837	03/12/1991	Reynolds, et al.	
	1C	<sup>US-</sup> 5,046,068	09/03/1991	Kubo, et al.	RECEIVED
	1D	<sup>US-</sup> 5,193,178	03/09/1993	Chillarege, et al.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	1E	US- 5,428,624	06/27/1995	Blair, et al.	AUG 0 7 2003
	1F	<sup>US-</sup> 6,311,278 B1	10/30/2001	Raanan, et al.	
	1G	<sup>US-</sup> 6,584,569 B2	06/24/2003	Reshef, et al.	Technology Center 21
		US-			
	1	US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	L
						L
						L
	l					
						Γ
	<u> </u>					┢

	Date	
Examiner		
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patient Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patient documents, the indication of the year of the reign of the Emperor must precede the serial number of the patient document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.